

Exam. Code : 105406

Subject Code : 1499

B.B.A. Semester—VI

FUNDAMENTALS OF CAPITAL MARKETS

Paper—BBA-604

Time Allowed—[3 Hours] [Maximum Marks—50

Note :—(1) Attempt any **TEN** questions from **Section A**. Each question carries **1** mark. Answer to each question upto **5** lines in length.

(2) Attempt any **TWO** questions each from **Section B** and **Section C**. Each question carries **10** marks. Answer to each question should not exceed **5** pages in length.

SECTION—A

1. (i) Write a note on ETFs.
- (ii) Difference between preference and equity shares.
- (iii) State the functions of tranivallas.
- (iv) What are the features of primary market ?
- (v) What are the 'Index Mutual Funds' ?
- (vi) Explain the difference between money market and capital market.
- (vii) Discuss the role of custodian in the context of mutual fund.

- (viii) What is SENSEX ?
- (ix) What are the various types of market indices ?
- (x) State the objectives of listing of securities.
- (xi) Write a note on management of stock exchange.
- (xii) Write a note on the membership of stock exchange.

SECTION—B

- 2. “Capital Market reforms have substantially improved the transparency and efficiency of Indian Capital Market”, in the light of this statement discuss the latest capital market reforms and their benefits.
- 3. What are Derivative Instruments ? Explain the types of derivative instruments.
- 4. Write a note on the various instruments floated in capital market.
- 5. What do you mean by mutual fund ? Also discuss the various types of mutual fund schemes.

SECTION—C

- 6. What do you understand by Listing of securities ? What are the guidelines of Indian Stock Exchanges regarding listing of securities ?
- 7. Discuss the SEBI guidelines regarding regulation of Stock Exchange.
- 8. What do you mean by market index ? What are the uses of these market indices ?
- 9. Write a note on the operators at the Stock Exchanges. Also discuss the weaknesses of the Indian Stock Exchanges.